

Karbiwnyk vs. R.J. Reynolds
10/23/97 1:00 p.m. - 2:50 p.m.

ROUGH DRAFT

1

2 JOANN KARBIWNYK,

3 Plaintiff,

4 vs.

5 R. J. REYNOLDS TOBACCO COMPANY

6 Defendant.

7

8

9 Thursday, October 23, 1997

10 Judge Michael Weatherby

11 Courtroom 2

12

13 APPEARANCES:

14 NORWOOD WILNER, Esquire, GREG MAXWELL, Esquire,

15 and STEPHANIE HARTLEY, Esquire, Attorneys

16 for Plaintiff.

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18 THEODORE GROSSMAN, Esquire, JAMES YOUNG,

19 Esquire, DIANE G. PULLEY, Esquire, and

20 DENNIS MURPHY, Esquire, Attorneys for R. J.

21 Reynolds.

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P R O C E E D I N G S

2 Thursday, October 23, 1997

1:00 p.m.

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4 (The following proceedings were reported by
5 Deanne Ferreira:)

6 MS. PULLEY: Line 12.

7 MR. KITCHEN: 3 to 12.

8 MR. MAXWELL: 3 to 12?

9 THE COURT: On the same page?

10 MR. MAXWELL: Yes, sir.

11 MS. PULLEY: That's merely identifying
12 Betty as her sister and that Betty was against
13 smoking.

14 THE COURT: Okay.

15 MR. MAXWELL: My argument, Judge --

16 THE COURT: Don't tell me. I understand
17 your argument, just tell me --

18 MS. PULLEY: Page 63, lines 8 to 12.

19 THE COURT: Say again?

20 MS. PULLEY: Page 63, lines 8 to 12.

21 THE COURT: 63, 8 through 12.

22 MS. PULLEY: And continuing at line 17 --
23 if you want to just consider the whole thing
24 beginning page 63 line 8, all the way through
25 page 65 line 16. Well, actually it goes --

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1 actually I'd stop -- for this particular
2 objection, I think you should stop at page 64,
3 line 23.

4 MR. MAXWELL: Well, you know, I don't mean
5 to be quibbling, but I really -- you know, I
6 formulated my objections based upon specific
7 portions that you identified.

8 MS. PULLEY: Yeah. I was just stopping --
9 65 is the Raleigh coupon, I assumed that's a
10 different objection than the family smoking.

11 MR. MAXWELL: They overlap.

12 MS. PULLEY: You can do the whole thing
13 then. 63, line 8 through page 64, line 4.

14 THE COURT: I'm sorry, say that again,
15 Diane.

16 MS. PULLEY: Page 63, line 8 through page
17 66, line 4.

18 THE COURT: Okay. All right. And?

19 MR. MAXWELL: Page 67, line 10.

20 MS. PULLEY: I thought you were going to
21 reconsider the fertility pills.

22 MR. MAXWELL: I'm sorry,, I'm sorry.

23 THE COURT: That's already in --

24 MR. MAXWELL: I'll withdraw my objection to
25 that.

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1 MS. PULLEY: Okay.

2 MR. MAXWELL: We don't have to worry about
3 that. So then we go to, what, 71?

4 MS. PULLEY: Yeah. Which you were also
5 going to reconsider.

6 MR. MAXWELL: Let's -- which line are we

7 talking about?

8 MS. PULLEY: Lines 6 through 24 on page

9 71. We merely want -- wanted to introduce this

10 evidence so later on we could potentially argue

11 that she had a change in lifestyle, not that she

12 quit smoking.

13 MR. MAXWELL: I'm sorry, page 6 through 24?

14 MS. PULLEY: Line 6 through 24.

15 MR. MAXWELL: Okay. I'll withdraw my

16 objection to that.

17 MS. PULLEY: Okay. And I believe that's

18 it, Your Honor, in terms of any issues.

19 MR. MAXWELL: Wait. Wait. Wait. Let's

20 see. You -- let's make sure. You withdrew to

21 the 72, 74, then we went to -- and page 78,

22 lines 5 through 16. Let me take a quick look at

23 that.

24 MS. PULLEY: I'm sorry, page 78?

25 MR. MAXWELL: Page, 78 lines 5 through 17.

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1 MS. PULLEY: 78, I withdrew the whole page.

2 MR. MAXWELL: Okay.

3 MS. PULLEY: Except questions 11 and 12.

4 MR. MAXWELL: Let me see. Yes, that's

5 fine. Okay. That -- that I think does it.

6 MS. PULLEY: However, Your Honor, we had

7 one objection to their counter designations, if

8 you still wanted to --

9 MR. MAXWELL: Yeah. Yeah. Let's take that
10 up.
11 MS. PULLEY: What page was that on?
12 MR. MAXWELL: That is on page 86 -- right
13 at the very end. Page 88, line 14 to page 89,
14 line 2, which is what I wanted to read.
15 THE COURT: 88/14?
16 MR. MAXWELL: Yes, Your Honor.
17 THE COURT: To --
18 MR. MAXWELL: 89, line 2. That's the only
19 objection you had to mine, right?
20 MS. PULLEY: Right. Your Honor, we feared
21 it would be prejudicial to the defense to have
22 this read in because it sounds like we were
23 badgering this woman asking her about her legal
24 drug use. The fact that she has no illegal drug
25 use makes it irrelevant to the case. However,

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1 had she had drug use during the period, that
2 could have been potentially relevant and
3 appropriate for a discovery deposition.
4 MR. MAXWELL: Well, it establishes that she
5 didn't use any illegal drugs.
6 MS. PULLEY: That's not an issue in this
7 case.
8 THE COURT: When did that become an issue?
9 MR. MAXWELL: Well, Your Honor, they've
10 talked a lot of different risk factors and they
11 asked the questions. I think it's -- it's

12 evidence that this witness who knew her very
13 well denies that she ever engaged in any other
14 sort of risky behavior.

15 THE COURT: Why is that any less
16 objectionable than the defense's objection that
17 [DELETED] should be admissible?

18 MR. MAXWELL: You may have a point there,
19 Your Honor. I just like it. It sounds good,
20 makes my client sound good.

21 THE COURT: What's that phrase, what's good
22 for the goose is good for the gander.

23 MR. KITCHEN: I need to make a response on
24 this record before we stop, so whenever we get
25 to that.

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1 MR. MAXWELL: I'll withdraw that.

2 MR. KITCHEN: I have one thing to add that
3 I didn't respond to.

4 MR. MAXWELL: Before we do that --

5 THE COURT: Is that all the transcript?

6 MR. MAXWELL: Yes, Your Honor. And I have
7 withdrawn that one portion I was going to read,
8 so I'll delete that.

9 THE COURT: I won't worry about that.

10 MR. KITCHEN: Even looking at consumer
11 expectation as a -- an objective standard, this
12 court has said previously in hearings here that
13 what the plaintiff knows is part of common

14 knowledge and what the plaintiff knows is
15 evidence of common knowledge, and to try to
16 eliminate anything she knew in a consumer
17 expectation question and a failure to warn is --
18 is fundamental error.

19 MR. MAXWELL: Well, you know, I think they
20 want to be able to argue that her testimony is
21 proof of consumer expectation, and the Hobart
22 versus Seigler case, I think, clearly puts that
23 to rest, that's an objective test.

24 Now, Your Honor, the -- the -- the -- the
25 portions that we've identified that I have

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1 objections to, all of them have that basic
2 objection that it goes to her knowledge.

3 However, I also have objections, and I
4 think you will, as you read them, the testimony,
5 you'll see I have objections to hearsay.

6 THE COURT: Uh-huh.

7 MR. MAXWELL: For example, there's a
8 portion where he says the mother said something.

9 THE COURT: Yeah, I saw that.

10 MR. MAXWELL: And let me just make sure, I
11 don't want to waive any -- also some of these --
12 some of the portions of the testimony,
13 especially the -- the husband's testimony, is
14 vague as to time. There's no -- there's no
15 indication as to when he says she knew certain
16 things and -- and that goes -- that's also --

17 THE COURT: But he does say when they were
18 divorced, doesn't he?
19 MR. MAXWELL: He says they were divorced in
20 1987, they continued to have contact --
21 MR. KITCHEN: '79.
22 MR. MAXWELL: I'm sorry, did I say '87?
23 '79. But they continued to have contact
24 because they had a child together, so it's vague
25 as to time. And the mother, as well, there's no

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1 indication there with respect as to what the
2 mother said as to when they claimed what she
3 said.
4 So I do have -- I just want the record to
5 reflective other objections as well to -- but
6 the -- the common thread that runs through all
7 this is that we -- we just don't think that --
8 that they should be permitted to try what is
9 essentially an assumption of the risk case
10 unless we get apportionment.
11 THE COURT: Okay. I'll --
12 MR. KITCHEN: And we simply say you can't
13 take the plaintiff out of this case.
14 MR. MAXWELL: And, you know, I'll agree to
15 that to a certain extent. You know, sometimes
16 there are -- there are -- there are -- are --
17 are -- are facts that are sort of part of the
18 res gestae that are just there. But it's

19 another matter to -- to produce extrinsic
20 evidence to come in and -- and prove up a
21 collateral issue.

22 And that's our position, this is a
23 collateral issue now because they've withdrawn
24 the comparative. Her knowledge is -- is
25 collateral.

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1 And even though it might come out in a case
2 because it's just part of the case, it doesn't
3 -- it shouldn't be tried and it shouldn't be
4 argued. And, Your Honor, in the last case in
5 the closing argument we got killed. They stood
6 up and said she -- in the face of all the
7 evidence that smoking was dangerous, she
8 continued to smoke. She chose to continue to
9 smoke, that's assumption of risk.

10 THE COURT: They're going to say that any
11 way. Even if I sustain your objection, what
12 else are they going to say?

13 MR. MAXWELL: Well, I think that's
14 improper. I think it's improper to argue that
15 the conduct of the plaintiff was negligent or
16 was contributing to the injury when we don't get
17 apportionment. And that's what comparative
18 negligence is all about, is not defeating a
19 plaintiff's claim by -- by making it all or
20 nothing.

21 MR. KITCHEN: For them to put on a prima

22 facie case, they've got to put on the evidence
23 that the warning would have changed and that it
24 wasn't commonly known in consumer expectation.
25 In order for them to put on a prima facie case.

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1 MR. MAXWELL: No. No. The consumer
2 expectation, we don't have to prove consumer
3 expectation. That's an element. That's one of
4 the factors the courts considered. We could not
5 put on anything about consumer expectation and
6 still have a case admissible to a jury. Because
7 if you look at the factors of consumer
8 expectation, there's only one of the five or six
9 factors out of the strict liability test that
10 Your Honor may recal.

11 MR. KITCHEN: No, that's under the second
12 -- that's under the alternative test, not under
13 the first one.

14 MR. MAXWELL: Well, you've raised it as a
15 defense and we can respond to it. But we don't
16 have to put it on.

17 MR. KITCHEN: We've been trying these cases
18 for three weeks on these theories and they still
19 ought to be in this case. That's all I've got,
20 Judge.

21 THE COURT: Well, once again, no matter
22 what I do, we'll be making law. I'll let you
23 know when we come back.

24 MR. MAXWELL: You have to admit -- we can
25 go off the record.

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1 (Short recess.)

2 THE COURT: Mr. Kitchen, Mr. Maxwell, do
3 you need to know right now on that matter?

4 MR. KITCHEN: No, sir, not until we go to
5 publish later.

6 MR. MAXWELL: Your Honor --

7 THE COURT: I need -- I want to -- I'd
8 rather not rule at the moment, if that's all
9 right.

10 MR. MAXWELL: That's fine.

11 THE COURT: Okay. All right. We are
12 here. Mrs. Karbiwnyk is coming?

13 MR. WILNER: On her way. I think we can
14 start.

15 THE COURT: All right. Bring out the
16 jury.

17 (The jury was seated in the jury box and
18 the following was held in open court:)

19 THE COURT: The jury has returned. Be
20 seated, Ladies and Gentlemen. Mr. Wilner?

21 CROSS EXAMINATION (Cont'd)

22 BY MR. WILNER:

23 Q Dr. Wicker, I have only a few more
24 questions for you.

25 A I'm Dr. Thomas.

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1 Q Dr. Thomas, thank you. Who did I call
2 you? Someone else?

3 A Yes.

4 Q I'm sorry, I'm getting tired here today. I
5 appreciate you correcting me.

6 Dr. Thomas, you just -- we just talked
7 about the -- the -- this business about air
8 pollution, and -- or a little bit about it. Did I --
9 will you clarify why when you were asked about
10 cigarette smoking, you always said there's been a
11 reduction in risk because of the 10 years or 11 years
12 since Joann stopped smoking, but never mentioned that
13 about the air pollution?

14 A I don't think I mentioned about either one,
15 except talking specifically about her medical
16 records. It's -- I would expect it's the same type
17 of knowledge concerning a pollutant that's inhaled,
18 whether it's air pollution or cigarette smoke that
19 would be cleared from lungs over time.

20 Q Would you -- are you familiar with the 1989
21 surgeon general's report on ambient air pollutants,
22 which includes a section on ambient air pollution?

23 A Yes, I am.

24 Q And the surgeon general's report is
25 entitled Reducing the Health Consequence of Smoking,

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1 20 Years of Progress, a report of the surgeon general
2 1989; you're familiar with that?

3 A Yes, I am.

4 Q Let me ask you if you agree or disagree.

5 On page 53, it says, ambient air pollution, the 1964
6 report noted that lung cancer mortality rates tended
7 to be higher in urban areas than in rural locations.
8 Air pollution was considered a plausible explanation
9 for these differences. The association of lung
10 cancer with atmospheric pollution derives biologic
11 plausibility from the presence of carcinogens in
12 polluted air and has some support in the
13 epidemiologic --

14 A Excuse me. Is there a possibility I can
15 actually see what you're reading while you're reading
16 it?

17 Q I'm reading from the surgeon general
18 report, and I'll have to put it on the monitor for
19 you.

20 A If I can get up and look at the monitor?

21 THE COURT: Sure.

22 Q Yes, you can. I was reading here. The
23 association of lung cancer with atmospheric pollution
24 derives biological plausibility from the presence of
25 carcinogens in polluted air and has some support from

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1 epidemiologic data. However, epidemiologic

2 investigation of ambient air pollution as a risk

3 factor for lung cancer has been hampered by
4 methodological problems, including the necessity of
5 considering cigarette smoking and the difficulty of
6 assessing pollution exposure.

7 Recent epidemiologic investigations have
8 not shown strong effects of air pollution, citing
9 Samok 1987, Buffer, 1988, and Doll and Pena 1981.

10 In their review of the cause of cancer
11 estimated that only one or two percent of lung cancer
12 was related to air pollution. Do you see that?

13 A Yes, I do.

14 Q Do you agree or disagree?

15 A I agree at the time that -- that was the
16 situation. As they pointed out in this paragraph,
17 they were hampered by methodological problems, some
18 of those we've been able to work through now, and so
19 we've gotten better estimates than were found by the
20 National Institute of Health in 1986, which is what
21 this is referring to.

22 Q Well, Doctor --

23 A So that's almost 10 years ago.

24 Q Well, produce what you think is better.

25 A I'm sorry?

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1 Q Produce what you think is better.

2 A I think most people agree now that
3 epidemiologic studies do show a strong association
4 with air pollution, and that the risks we can now

5 calculate just as -- were done in this EPA report we
6 looked at this morning. In fact, this refers to some
7 familial studies that John and Samot studied, I don't
8 know whether that helped them or not. And the Doll
9 and Pena study it's talking with is 1981 which
10 actually is quite a while ago for the development of
11 scientific methodology, so some of the things stated
12 in this paragraph have now been taken care of 10
13 years later.

14 Q Produce it, Doctor.

15 THE COURT: Mr. Wilner.

16 Q Can you?

17 MR. YOUNG: Your Honor, is he asking us to
18 recess the court to go get documents?

19 MR. WILNER: I'll rephrase.

20 BY MR. WILNER:

21 Q Do you have it in front of you? Can you
22 produce it?

23 A I don't have it in front of me.

24 Q Can you tell us what it is?

25 A I can tell you to what led to the changes

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1 and how the changes have been made.

2 Q Can you give us a study, or a note that
3 you've got or anything that substantiates what you
4 say?

5 A Yes. The report that I directed at the
6 National Academy of Sciences in 1994 called Science
7 and Judgment, Risk Assessment. It's 800 pages that

8 deal with methodological development, including how
9 to do these types of estimates, and that specifically
10 directs EPA how to do them under the Due Clean Air
11 Act.

12 Q And so if -- if the number by the surgeon
13 general was one to two percent, what's your number?

14 A Let me look at it again.

15 Q One to two percent of lung cancer citing
16 Doll and Pena, what's your number?

17 A I don't have a number. Agencies such as
18 the Environmental Protection Agency have done
19 calculations, after all these are estimates, they're
20 statistical estimates. With some time, I could
21 derive a number, just as the Environmental Protection
22 Agency derives a number.

23 If you're asking for a specific number to
24 get kind of a ballpark, the numbers that are talked
25 about right now are somewhere around five percent, so

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1 they're about double what were in this.

2 Q Five percent? And what are the numbers
3 that are talked about for cigarettes for lung cancer?

4 A Well, again, in this particular case we're
5 talking about a woman who had not smoked for ten
6 years. I believe the number that you have on the
7 board there deals with the current smoking
8 population. So if in this particular case we were to
9 make a comparison, we'd want to compare the history

10 of the person that smoked who is not a current smoker
11 and hasn't smoked for a decade, with other risk
12 factors, such as air pollution and family history and
13 so forth, as I pointed out earlier.

14 Q I'm sorry, Dr. Thomas, I guess I wasn't
15 clear when I asked you. The statement that we just
16 looked at talked about the -- the amount of lung
17 cancer in the United States due to air pollution.

18 A Yes.

19 Q It said one to two percent.

20 A Yes.

21 Q All right. Now -- and you said, Well, now
22 that's up to five percent.

23 A Yes. Some people would estimate five
24 percent. I've seen some slightly higher, some
25 slightly lower, it depends on who --

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1 Q And what is the estimate --

2 A Excuse me, if I could finish?

3 Q Yes, go ahead.

4 A It depends on who is actually conducting
5 the estimate. I think people need to realize that
6 these are statistical estimates and vary depending on
7 who does them.

8 Q All right. And so what is the estimate for
9 the percentage of lung cancer in the United States
10 caused by cigarette smoking?

11 A You mean current smokers or previous
12 smokers?

13 Q The total amount of lung cancer, just like
14 the surgeon general says it.
15 A Well, the figure that you used up here this
16 morning was something along 40 to 50 percent.
17 Q Well, that wasn't the figure I used up
18 here, Doctor. That was the -- that's the risk for
19 each individual smoker.
20 My question now is of -- is of the total
21 amount of lung cancer in the United States, what is
22 the percentage due to cigarette smoking, if you know?
23 A This is a very general question. All
24 cancers? All lung cancers? Maybe you could be more
25 specific.

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1 Q I said lung cancers.
2 A Oh, lung cancers?
3 Q Yes, I did.
4 A The numbers in the literature range, again
5 these are estimates, somewhere -- 80 percent, 85
6 percent, 90 percent. It depends on who does the
7 estimates. I've seen some as low as 70 percent.
8 Q Okay.
9 A And, of course, these are current smokers,
10 too.
11 Q Who is?
12 A Well, these estimates are based on
13 epidemiologic studies and the studies are done on
14 current smokers.

15 Q The estimates are -- Doctor, aren't they
16 for all of the lung cancers in the United States?
17 Doesn't that include smokers who are currently
18 smocking, smokers who have stopped and also people
19 who haven't ever smoked? Everybody. ?

20 A The total number of lung cancers includes
21 that, that's correct.

22 Q Okay.

23 A But what I'm saying is the estimates that
24 are done for smoking are usually based on current
25 smokers. The reason, of course, is is that after

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1 smoking stops, as we've talked about earlier, after
2 cessation, then the risks drops. So to try to
3 produce the least error as possible, they use current
4 smokers in these estimates.

5 Q So you've studied the decline and risk after
6 a person stops smoking?

7 A Yes, I've examined that issue.

8 Q And you have a looked at the 1990 surgeon
9 general report?

10 A Yes.

11 Q And, therefore, you're familiar with page
12 123, the -- a chart of relative risks versus years
13 since cessation; do you recognize that?

14 A Yes, I do.

15 Q So let's see if we can make some sense.

16 A I'm sorry, can you make it a little higher
17 so I can see what's below?

18 Q All right.
19 A Okay. Relative risks.
20 Q How many years since cessation is Mrs.
21 Karbinwnyk?
22 A At the time her cancer was diagnosed it was
23 11, 12 years.
24 Q All right. So let's go over here. That's
25 10. Try to keep this straight, there's -- that would

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1 be 11 to is 12 years right there?
2 A Yeah.
3 Q Make there. And there's two lines here, so
4 I'll make a mark on each one then we'll see what they
5 are. And then let's carry this one over to here and
6 this one over to here. See that?
7 A Yes.
8 Q Did I do that okay so far?
9 A Yeah.
10 Q Tried to line it up. So what are those two
11 lines?
12 A Well, the first -- the top line is with
13 adjustments for smoking duration. The bottom line is
14 without adjustments for smoking duration. And this
15 report's entitled Risks of Lung Cancer Among
16 Ex-smokers Compared with -- and I'm not sure if you
17 could move it up a little bit more, I could finish
18 reading it -- compared with cessation smokers as a
19 function of time since stopping smoking, estimated

20 from logistic progressions --

21 Q Okay.

22 A The best I could read, it looks like it's a

23 log scale on this side.

24 Q Yeah.

25 A But basically what it shows -- okay.

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1 Basically what it shows is a drop in relative risks

2 based on cessation of smoking. It's complicated the

3 way they've presented it. There are easier ways of

4 presenting this information.

5 Q But --

6 A But it -- I mean, we're talking about drops

7 between those.

8 Q Well, if -- if -- following either --

9 either line, let's follow the top line. How much is

10 the drop after 11 years?

11 A This is relative risk, which means these

12 are based on mortality, so it's not a very good

13 comparison with our current case since Mrs. Karbiwnyk

14 was living and is in remission currently.

15 Could you ask me the question again? I'm

16 still trying to understand quite what they've done.

17 This is a complicated presentation. This is relevant

18 risk down the side.

19 Q Yeah.

20 A And they're saying the relevant risk is .9

21 here.

22 Q Yeah.

23 A And the relative risk is dropped after 12
24 years to, what, .85, I guess.
25 Q Doesn't that show, Dr. Thomas, that

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1 according to that chart published by the surgeon
2 general, that after 11 years, a -- a person who stops
3 smoking 11 years later has come down to only 80
4 percent of the risk that they had when they stopped?

5 A No, it doesn't show that. In fact, this is
6 a log scale down the side. You'll notice how this
7 gets smaller as it comes down, so it makes these
8 numbers look larger. And this is also a relative
9 risk which means that this is a set of estimates
10 based on mortality using a linear regression method,
11 which I think actually tends to distort what's
12 happening up here and that's why you've got such
13 large bars. This is not the way I would represent
14 that information.

15 Q Whether you'd represent it or not, the
16 surgeon general has represented that information as
17 showing that after 10 years or 11 years of cessation,
18 that depending on which line you follow, whether you
19 adjust for smoking duration or you don't, you only
20 shed between 10 and 15 percent of the hazard that you
21 had when you stopped; isn't that true?

22 A Basically what happens -- well, let me try
23 to answer your question. The answer to your question
24 is yes, you shed about 15 percent, based on a log

25 scale. But you have to recognize that it's not a

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ROUGH DRAFT

1 linear scale, it's a log scale. The risk is

2 injury --

3 A JUROR: Can you use another marker,

4 please? Can you use another marker, please?

5 That stuff's getting high, man. I've got a

6 headache.

7 THE COURT: I'm sorry, I was going to tell

8 him at lunch break but I forgot about it. I can

9 smell it up here sometimes.

10 A JUROR: I was getting a headache from

11 that.

12 THE COURT: I apologize. I meant to tell

13 him to find something in the lunch break.

14 A JUROR: You used another one.

15 THE COURT: Mr. Wilner, there's some

16 more --

17 A JUROR: I'm sorry, I didn't mean --

18 THE COURT: I'm glad you did.

19 MR. WILNER: This one smells okay.

20 THE COURT: I'm worried about what they

21 think.

22 MR. WILNER: Oh, I know, but I tested it.

23 THE COURT: Okay.

24 A What I was saying when we had the problems

25 with the marker, was that these are mortality

1 studies, so we're talking about mortality. And we're
2 also talking about the fact that -- this is a
3 complicated way to present this information. This
4 would -- this doesn't mean the information is wrong.
5 I was trying to think of an easy way to explain it.

6 BY MR. WILNER:

7 Q Well, Dr. Thomas, let me ask you a
8 question, maybe that will move it along.

9 A If I could finish --

10 MR. YOUNG: Objection, Your Honor. May he
11 finish his answer?

12 A If I can finish? I think an easy way to
13 think about this is that we all are going to die.
14 There's nobody that's born that's not going to die.
15 So what they're trying to do also is adjust the
16 curves based on increased mortality with time. In
17 other words, as you get older, your chances of dying
18 increase. And so the reason they presented it in
19 such a complicated way is they're trying to say,
20 Well, let's adjust for mortality of the whole
21 population and see what change it makes and that's
22 why it was also put on a log scale.

23 Log scales are difficult to understand.
24 I'm sure that you're having difficulty understanding
25 how this is being presented. There are easier ways

1 of presenting it.

2 Q Dr. Thomas, just -- not to quibble, Dr.
3 Thomas, this is a chart that -- that the surgeon
4 general provided in his text in 1990; is that
5 correct? Do we all agree on that?

6 A Yes.

7 Q And it shows, regardless of your feeling
8 about the scale and so forth, that people who stop
9 smoking even after 11 years later still have between
10 85 and 90 percent of the risks they had when they
11 stopped?

12 A But what they're also taking --

13 Q First answer my question before you say
14 but. Yes or no?

15 A Yes.

16 Q Okay. Thank you. Now you can explain.

17 A What they're basically saying, though, is
18 that as the person gets older, their mortality
19 increases.

20 Q Thank you.

21 A So that's taking into consideration the
22 increased mortality at the same time the risk is
23 dropping off, so this is trying to subtract the two.

24 Q Okay. All right. Just a few more things,
25 if I could and then we'll really be gone. All

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ROUGH DRAFT

1 right. Back to the Premier study for a question or
2 two. That was tab 35 if you want to see it. I'll
3 try to do my best to represent it to you on the

4 screen. And that's everything there is on the
5 document.

6 A Why don't I just look at the screen.

7 Q Fine. This -- this is a part of the
8 Premier study that shows an analyses of various parts
9 of smoke; do you see that?

10 A Yes.

11 Q And part of those or two of those -- those
12 are called NNN and NNK; do you see that?

13 A Yes.

14 Q And they are in nanograms per cigarette,
15 correct?

16 A Yeah, nanograms per cigarette.

17 Q And the control is given as -- with a
18 number and the new is given and the new one is much
19 smaller; you see that?

20 A This is the new?

21 Q Right.

22 A Okay. Where's the control on this?

23 Q That's the control.

24 A I'm sorry. My eyes aren't that good.

25 Q The one beside the small one, that's the

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ROUGH DRAFT

1 control.

2 A This is the control?

3 Q That's right.

4 A Yeah. It's hard to tell. Okay.

5 Q Now, are you familiar with -- with

6 published values -- well, before I ask you that, you
7 see that word TSNA?
8 A Yes.
9 Q What does TSNA mean?
10 A I'm not sure how they're using it here. It
11 has several meanings.
12 Q Give us a couple.
13 A Well, total suspended nitrates and
14 nitrogen-containing compounds in alcohol solution is
15 one that it means. TSNA has several different
16 meanings. I'd have to know what you're talking
17 about.
18 Q Well, what is NNN and NNK?
19 A These are N-Nitroso compounds, and this is
20 often referred to nitroso compound suspended in
21 alcohol.
22 Q In fact, it refers to tobacco specific
23 nitrosamines, doesn't it?
24 A I don't know. That's what you're telling
25 me.

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ROUGH DRAFT

1 Q All right. You haven't heard that? So
2 tell us what NNN and NNK are.
3 A Well, again, those are N-Nitrosonicotine.
4 These are both N-Nitroso compounds that are found in
5 nicotine.
6 Q And actually they're the result of the
7 nitrosation -- Nitroso -- a reaction of nicotine in
8 cigarettes causes those things to occur, right?

9 A Yeah, basically that's how they occur.
10 It's -- they react as a nitrate within the -- the
11 tobacco to form the N-Nitroso compounds.
12 Q You're familiar with the 1979 surgeon
13 general's report?
14 A Yes, I am.
15 Q You've ever seen the diagrams where
16 nicotine is -- produces NNN and NNK?
17 A Yes, I am familiar with that.
18 Q And you know, then, that NNN and NNK are
19 referred to as powerful organ-specific carcinogens?
20 A Yes. They're part of a class of materials,
21 the N-Nitroso compounds, several of which have found
22 to be carcinogenic.
23 Q And the amount of nitrates and nicotine in
24 tobacco relates to the amount of NNN and NNK in the
25 smoke?

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ROUGH DRAFT

1 A It may or may not. The problem with the
2 N-Nitroso compounds in doing these types of analyses,
3 is that N-Nitroso compounds are very reactive
4 materials. They are formed and often react within
5 seconds after being formed. So it's depending a lot
6 on what the conditions are in the cigarette, whether
7 you actually have it in the smoke or not, or whether
8 it's in the cigarette or not.
9 And I've done analysis of these compounds
10 specifically in different materials, and even the

11 same material from day-to-day, they would vary a
12 great deal depending on what the conditions are. So
13 I can't answer your question just because these are
14 such reactive materials.

15 I do know, though, that they occur in
16 cigarettes at very low concentrations.

17 Q And do you know whether in these very low
18 concentrations they're very, very dangerous in
19 cigarettes?

20 A No, I don't know that.

21 Q Do you know the work -- do you know who
22 Detrich Hoffmann is?

23 A Yes, I do.

24 Q And you recognize him as a leader in the
25 field of analysis of cigarettes and smoke concentrate

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ROUGH DRAFT

1 or -- smoke chemistry, right?

2 A Yeah, he's published extensively in the
3 area.

4 Q And he has analyzed these NNN and NNK
5 actors in cigarettes to see how dangerous they might
6 be, true?

7 A He's done analysis of these, yeah.

8 Q Well, let me hand you an article that he's
9 written and I'll ask you a few questions about it.
10 It's called The Changing Cigarette, it was in -- and
11 since -- I just want to take a moment and read you
12 the abstract and then ask you if you agree or not
13 with various statements in it. Let me put it up.

14 It begins by saying, nicotine is recognized
15 to be the major inducer of tobacco dependence. The
16 smoking of cigarettes as an advantageous delivery
17 system for nicotine accelerates and aggravates
18 cardiovascular disease and is causally associated
19 with increased risk for chronic obstructive lung
20 disease, cancer of the lung and the upper air
21 digestive system and cancer of the pancreas, renal
22 pelvis and urinary bladder. It is also associated
23 with cancer of the liver, cancer of the uterine
24 cervix, cancer of the nasal cavity and myeloid
25 leukemia.

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ROUGH DRAFT

1 And before we get to the NNN and NNK, do
2 you agree with that?

3 A Statistically, which is what he's referring
4 to, there certainly are statistical associations, as
5 he said increased risks of, and he lists several
6 things.

7 Cancer of the pancreas, renal pelvis. I
8 think the renal pelvis is -- there's some debate
9 still ongoing about renal pelvis. That may or may
10 not be.

11 Urinary bladder is also one that's being
12 debated currently.

13 Well, there's other statistical
14 associations in some epidemiologic studies with the
15 liver.

16 The one for uterine cervix is probably
17 not. Cancer of the nasal cavity --
18 Q Well, let's focus on the lung.
19 A Well, you asked me about this paragraph.
20 Q All right.
21 A I'm just going through. Some of them I
22 could agree with, some I couldn't.
23 Q All right. And you stayed statistically,
24 does this doctor say causally associated?
25 A Well, yeah. He's talking about statistical

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ROUGH DRAFT

1 associations.
2 Q You know that?
3 A Well, that's the way its being used here.
4 He says increased risks of, he's talking about
5 statistical risks.
6 Q Let's go on. Now, before we go down to NNN
7 and NNK, I want to ask you about the first sentence.
8 It says, Nicotine is recognized to be the
9 major inducer of tobacco dependence. Is the dose of
10 a carcinogen, according to you, critical in
11 evaluating its response?
12 A Yes, it is.
13 Q And do you agree that nicotine is a
14 regulator of dose in cigarettes?
15 A I don't know what you mean by regulator of
16 dose.
17 Q You don't know whether nicotine influences
18 the dose, the amount of smoking that people do?

19 A I haven't gone back and looked at
20 information specifically on nicotine. I was asked to
21 look at materials concerning carcinogenicity. Well,
22 I know that nicotine is in cigarettes.

23 Q And do you agree or disagree that nicotine
24 is recognized to be the major inducer of tobacco
25 dependents?

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ROUGH DRAFT

1 A Like I say, I haven't looked at that. I
2 see in the newspaper, as others do, these types of
3 statements. But as a scientist, I haven't gone back
4 and read the studies myself to agree or disagree with
5 that statement. I simply don't know.

6 Q Certainly is a critical issue in terms of
7 the amount of dose of carcinogens that people might
8 get, isn't it?

9 A I don't know how to answer that since that
10 assumes that it is.

11 Q Let's go on. In 1950, the first
12 large-scale epidemiological studies documented that
13 cigarette smoking induces lung cancer and described a
14 dose response relationship between the number of
15 cigarettes smoked and the risks for developing lung
16 cancer; do you agree?

17 A It was 1950 that the first five
18 epidemiologic studies were conducted and they did see
19 dose response relationship. I generally agree with
20 that.

21 Q In the following decades these observations
22 were not not only confirmed by several hundreds of
23 prospective and case-control studies but the
24 plausibility of this causal association was also
25 supported by bioassays and by the identification of

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ROUGH DRAFT

1 carcinogens in cigarette smoke; do you agree?

2 A Well, he's talking about a statistical
3 association again. And he says that there is some
4 supporting information in bioassays and other
5 information. It's true there is some supporting
6 information.

7 Q Again you're putting words in about a
8 statistical association. Doesn't he say a causal
9 association? Whatever that is.

10 A From the previous paragraph, it was clear
11 that he was talking about the statistical association
12 because he said increased risks of.

13 Q And when it says, Supported by bioassays in
14 the identification of carcinogens in cigarette smoke,
15 you agree that support has been there?

16 A There have been a lot of studies done
17 throughout the last 30, 40 years, certainly in the
18 hundreds. Some of them are supportive and some are
19 not supportive.

20 Q Whole smoke induces lung tumors in mice and
21 tumors in the upper respiratory tract of hamsters; do
22 you agree?

23 A I disagree with that.

24 Q He's wrong?

25 A Most scientists, including myself, that

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ROUGH DRAFT

1 have reviewed these have shown these not to be the
2 case, including the surgeon general.

3 Q The particulate matter of the smoke elicits
4 benign and malignant tumors of the skin in mice and
5 rabbits, sarcoma in the connective tissue of rats,
6 and carcinoma in the lungs of rates upon
7 intratracheal instillation; do you agree?

8 A Well, let's see, I agree -- I have to read
9 these to see what he's talking about since this is a
10 -- a summary statement as an introduction. He's
11 talking about skin painting, and skin painting has
12 been conducted on mice and rabbits and we've seen
13 tumors conducted from skin painting.

14 The intratracheal instillation, there has
15 not been a successful method of really doing that so
16 I disagree with the rest of that.

17 We have seen malignant tumors certainly in
18 the skin of mice, but a lot of these methods --
19 intratracheal instillation actually is not used any
20 more because it's considered not to really be useful
21 experimental technique.

22 Q More than 50 carcinogens have been
23 identified, including the following classes of
24 compounds polynuclear aromatic hydrocarbons, PAH,
25 aromatic amines, and N-Nitrosamines. Among the

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1 latter, the tobacco-specific N-Nitrosamines, TSNA,
2 have been shown to be of special significance; do you
3 see that?

4 A Yes, I see that.

5 Q Do you agree?

6 A Well, out of a thousand materials or
7 chemicals that have been identified in tobacco smoke,
8 I'm sure there's at least 50 that have been
9 identified by one or another group to be carcinogenic
10 based on their definitions. So the definition may be
11 in animals only or in humans or in both.

12 Let's see, the last part of this, we were
13 talking this morning about polynuclear aromatic
14 hydrocarbons, those are produced during combustion,
15 and you find those -- you find the others as well,
16 however at very low concentrations.

17 Q Do you agree that the TSNA, the
18 tobacco-specific N-Nitrosamines have been shown to be
19 of special significance?

20 A I don't know what he means by that
21 statement. I don't know what he's saying.
22 Significance for what?

23 Q All right. Since 1950, the makeup of --
24 oh, you don't know what it's significant for?

25 A No, I don't know.

1 Q Is that what you said?

2 A Yeah. Special significance for what?

3 Q For cancer.

4 A Well's, he was talking about a hosts of
5 different diseases earlier. I just -- you know, it's
6 a very general statements. I don't know what it's
7 about. I have to read the article.

8 Q Since 1950, the makeup of the cigarettes
9 and the composition of cigarette smoke have gradually
10 changed. In the United States the sales-weighted
11 average tar and nicotine yields have declined from a
12 high of 38 milligrams tar to 2.7 milligrams of
13 nicotine in 1954 to 12 milligrams and 0.95 milligrams
14 in 1992, respectively. In the United Kingdom, the
15 decline was from about 32 milligrams of tar and 2.2
16 milligrams of nicotine to less than 12 milligrams of
17 tar and 1.0 milligrams nicotine per cigarette.
18 During this same time, other smoke constituents
19 changed correspondingly. These reductions of smoke
20 yields were primarily achieved by the introduction of
21 filter tips, with and without perforation and it goes
22 on to say some different changes involved in the
23 cigarette.

24 Are you familiar with those in general or
25 is that outside your area of expertise?

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1 A The numbers that are shown here, I

2 certainly can't verify the numbers, but they are the
3 types of numbers I've seen in other publications, and
4 know I know in what I read there have been various
5 attempts to try to reduce some of these materials.

6 Q Then he goes on to say, More complete
7 combustion decreases the carcinogenic PAH, yet the
8 increased generation of nitrogen oxides enhances --

9 A I'm sorry, where are you reading? You've
10 lost me again.

11 Q At the bottom of that paragraph more
12 complete combustion --

13 A Okay.

14 Q More complete combustion decreases the
15 carcinogenic PAH, yet the increased generation of
16 nitrogen oxides enhances the formation of
17 carcinogenic N-Nitrosamines, especially the TSNA in
18 the smoke. Did you know that?

19 A Okay. So he's saying as the combustion
20 decreases the polycyclic aromatic hydrocarbons and
21 increases the generation of nitrogen oxides -- I've
22 seen others that have made those type of statements.
23 I haven't looked into that issue. I don't know if
24 that's the case or not.

25 Q Do you know whether the carcinogenic

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ROUGH DRAFT

1 tobacco-specific N-Nitrosamines, these TSNA's, have
2 been going up in cigarettes?

3 A Well, like I say, I've seen reports that
4 there are increases in some cigarettes, there's also

5 degreeses in others. It really depends on how the
6 cigarette is designed.

7 As I indicated, these chemicals are very
8 reactive chemicals and don't exist for very long time
9 periods, so a lot of it has to do with how the
10 cigarette is designed.

11 And -- so he's made a fairly sweeping
12 statement here which I would be surprised that it
13 would apply to all cigarettes.

14 Q Well, do you have any reason to think that
15 Winston cigarettes are different?

16 A I'm not talking about Winston cigarettes.
17 I said all cigarettes. And based on what I know
18 about N-Nitroso compounds, having work on them for
19 many years, I know they are very susceptible to
20 changes, so I would expect to see changes .

21 Q Let's read the last conclusion of this
22 statement, if we might. The overview -- which is, I
23 guess, this paper -- also discusses furnished needs
24 for reducing the toxicity and carcinogenicity --

25 A I'm sorry, are you on the next page?

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ROUGH DRAFT

1 Q No, I'm sorry, it's the bottom of that
2 page.

3 A It's the next page on my copy.

4 Q The overview also discusses further needs
5 for reducing the toxicity and carcinogenicity of
6 cigarette smoke. From a public health perspective,

7 nicotine in the smoke -- in the smoke needs to be
8 lowered to a level at which there is no induction of
9 dependence on tobacco; do you see that?

10 A Yes, I see that.

11 Q Do you agree?

12 A That that's what he discusses in his paper?

13 Q No, from a public health perspective,
14 that's what has to be done?

15 A Well, that's not what he says here. He
16 says -- this is -- this is an overview. He's
17 describing what he's discussing in his paper. He
18 discusses further needs for this and that and the
19 other. There's nothing to agree with or disagree.
20 I'd have to read the paper --

21 Q All right. Let me ask you this statement
22 then --

23 A -- To get an understanding of this paper .

24 Q Let me ask you this statement then. Let's
25 read the very last statement and then I'll ask you if

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ROUGH DRAFT

1 you agree or disagree. From a public health
2 perspective, nicotine in the smoke needs to be
3 lowered to a level at which there is no induction of
4 dependence on tobacco. From a public health's
5 perspective.

6 Do you agree or disagree from a public
7 health perspective?

8 MR. YOUNG: Objection, Your Honor, he
9 testified he hasn't read the paper.

10 THE COURT: I agree, Mr. Wilner. How is he
11 supposed to answer it?

12 MR. WILNER: Well, I don't know, he's given
13 opinions here today, Your Honor, about the
14 relative risks of cigarettes. And I -- I guess
15 if he has no opinion, then he has no opinion. I
16 don't know.

17 THE COURT: Proceed.

18 A Like I say, I haven't gone back and looked
19 at the nicotine literature and I really couldn't draw
20 an opinion on this. It would just be speculation.

21 Q You don't have an opinion one way or the
22 other?

23 A I haven't reviewed the issue. I haven't
24 even read the paper he's talking about that this is
25 an overview of.

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ROUGH DRAFT

1 Q Okay.

2 MR. WILNER: Thank you for being patient
3 with me, Dr. Thomas. I have no further
4 questions.

5 THE COURT: Thank you. Mr. Young?

6 MR. YOUNG: May I have five minutes, Your
7 Honor?

8 THE COURT: Sure.

9 MR. YOUNG: Thank you.

10 THE COURT: Ladies and Gentlemen, if you
11 will step in the jury room, we will be in a

12 short recess.

13 (Short recess.)

14 THE COURT: Are you ready, Mr. Young?

15 MR. YOUNG: Yeah.

16 THE COURT: All right. Let's come to

17 order, please. Bring out the jury.

18 (The jury was seated in the jury box and

19 the following was held in open court:)

20 THE COURT: All right. Be seated, Ladies

21 and Gentlemen. Mr. Young?

22 MR. YOUNG: Thank you, Your Honor.

23 REDIRECT EXAMINATION

24 BY MR. YOUNG:

25 Q Dr. Thomas, Mr. Wilner had you talk about

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1 some numbers with respect to an air pollution study

2 done in the Kanawha Valley sometime in the '80s; do

3 you recall that?

4 A Yes, I do.

5 Q And part of that calculation involved a 50

6 percent lifetime risk for smoking; is that right?

7 A That actually wasn't in that particular

8 study. That was taken out of, I think, the surgeon

9 general's information. But he was making a

10 comparison with the Kanawha Valley study.

11 Q Okay. And with respect to that 50 percent

12 number, putting aside whether you agree that that's a

13 valid number or not, for what status of smokers is

14 that 50 percent figured on?

15 A Again, as I mentioned before, that's based
16 on the epidemiologic studies, and these studies are
17 conducted on current smokers, so it's really based on
18 mortality, if you will.

19 Q And not former smokers?

20 A No, not former smokers. The risks are
21 simply too low in former smokers.

22 Q Now, you also made a calculation or Mr.
23 Wilner made a calculation of 1/10th of 1 percent
24 lifetime risk for air pollution; do you remember
25 that?

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ROUGH DRAFT

1 A Yes, I do.

2 Q And that was based on an EPA study of the
3 Kanawha Valley that I think you said you were
4 familiar with?

5 A Yes.

6 Q And about when was that study conducted?

7 A That was conducted about 1987, '88.

8 Q And was that a risk assessment study?

9 A Yes, that was a risk assessment study.

10 Q And was the risk based upon the level of
11 air pollution in the Kanawha Valley back at the time
12 that Mrs. Karbiwnyk lived there?

13 A No, it was not.

14 Q What was that risk calculated on?

15 A That was calculated on current levels in
16 1988, 1989, which would have been much lower than the

17 levels during the time that she grew up there.

18 Q And did anything happen in terms of the
19 control of air pollution in the Kanawha Valley
20 between 1957, when Mrs. Karbiwnyk left, and in the
21 1980s when that study was conducted?

22 A Well, the first air pollution laws in the
23 United States were instigated in the early 1960s, and
24 as I think everybody's aware, they continued to get
25 more strict and factories of various concerns had

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ROUGH DRAFT

1 been required to reduce their air pollution by 90
2 percent, 95 percent. There have been extensive
3 reductions in air pollution over that time period.

4 Q And I too want to be abundantly fair with
5 you, do you think that the risk assessed in that EPA
6 study realistically assesses the risk that was in
7 existence for the time period Mrs. Karbiwnyk lived in
8 Charleston?

9 A No.

10 Q Let me also call your attention to the
11 Premier study that was brought into evidence.

12 MR. YOUNG: Mrs. Kent, do you have that
13 figure?

14 Q Up on the TV screen, can you see that?

15 MR. YOUNG: Can we back away a little bit,
16 Mrs. Kent?

17 A Yeah, if I could use the monitor?

18 Q Please.

19 A It's easier for me. Yes.

20 Q This is a 90-day inhalation study, right?
21 A Yes, that's correct.
22 Q In a rat?
23 A In a rat.
24 Q Okay. And this indicates it produced
25 squamous sell metaplasia of the larynx; is that

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ROUGH DRAFT

1 correct?
2 A That's right.
3 Q Or the test was designed to check on, or
4 can't you tell?
5 A You can't really tell from this. This
6 appears to be something that was used in a
7 presentation, maybe a summary to scientists. And
8 this is somebody's summary that they have on one
9 page. So this don't really tell me very much about
10 the study other than it was a 90-day study done on
11 rats. And one of the findings seemed to be squamous
12 cell metaplasia but it doesn't tell me anything else
13 about the study.
14 Q Is squamous cell a form of lung cancer?
15 A No, it's not.
16 Q Well, is squamous -- is there such a thing
17 as squamous cell lung cancer?
18 A Yeah, there's squamous cell lung cancer.
19 Q Okay. Is that the kind of lung cancer Mrs.
20 Karbiwnyk has?
21 A No, it's not.

22 Q Is there something called squamous cell
23 metaplasia?
24 A Yes, that's a pathological description of
25 changes within, in this case, the larynx.

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1 Q Is squamous cell metaplasia cancer?
2 A No, it's not.
3 Q Is the disease at issue in this lawsuit
4 cancer of the larynx?
5 A No, it's not.
6 MR. YOUNG: Mrs. Kent, do you have another
7 overhead.
8 Q Why don't you stay there, Doctor. This is
9 the other inhalation study that was put up on the
10 hamster?
11 A Yes.
12 Q And does that also refer to squamous cell
13 metaplasia of the larynx?
14 A Yes, it does.
15 Q Thank you. You can return to your seat.
16 Now, you were also shown a chart from the
17 1990 surgeon general report, do you recall that?
18 A Yes, I do.
19 MR. YOUNG: Is that available? Woody, do
20 you still have that?
21 MR. WILNER: It's in the surgeon general
22 report, just a minute. I can't remember where I
23 put the surgeon general report.
24 MR. GROSSMAN: Is it this one?

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ROUGH DRAFT

1 MR. YOUNG: Never mind.

2 BY MR. YOUNG:

3 Q Do you remember the chart, Doctor?

4 A Yes, I do.

5 Q You mentioned some concern about the chart,
6 do you recall that?

7 A Yes.

8 Q One of the concerns you mentioned was that
9 it was a mortality study?

10 A That's right.

11 Q What does that mean?

12 A Well, that means that the chart was based,
13 again, on mortality studies. And in the case that
14 we're talking about, this is a person that's alive
15 and in remission. So we're applying studies for
16 people that have died compared with somebody that's
17 still alive, so there's not as much of an
18 application.

19 Q A mortality study is a death study; is that
20 right?

21 A That's right.

22 Q Do you consider it fair when you're trying
23 to calculate the risk for a former smoker to look at
24 the death date and to compare that to the diagnosis
25 date? Maybe my question --

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ROUGH DRAFT

1 A Yeah. I'm not sure I understand your
2 question.

3 Q Okay. Do you think it's fair to use a
4 mortality study for a living person?

5 A No, it's not.

6 Q Why is that?

7 A Well, because there's -- again, the
8 statistics are based on death and represent all those
9 steps leading up to death, whereas in a person that's
10 alive and living and in remission, the steps are very
11 different. So it's not -- so it's like comparing
12 apples and oranges.

13 Q Now, you -- you also mentioned some
14 problems with respect to a log scale.

15 A Yes.

16 Q Can you tell us about that problem?

17 A The log scales are sometimes used to
18 represent data when to put it on a regular graph in a
19 linear manner, the graph would be as tall as the
20 ceiling. So what they do is to shrink it down where
21 you can get it on a page of a scale rather than put
22 it on a linear scale, they put it on a log scale so
23 each section in the log represents a factor of 10.
24 And so going from one to the next is 10 times as
25 much, and the next to the next is 10 times as much.

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ROUGH DRAFT

1 And that was the problem in trying to interpret that
2 information, it's not to say that it's wrong
3 technically, it's just the way it's presented it
4 makes it difficult to analyze actually what has been
5 done because it's been compressed.

6 And the second thing that they tried to do
7 in that, which also had to do with the scale, is to
8 take into consideration life span and mortality in
9 people that don't smoke and people that do smoke
10 because it is mortality again.

11 Q Okay. Well, that -- well, that all dealt
12 with the issue in reduction of risk after a person
13 stopped smoking, right?

14 A That's correct.

15 Q And that was from the 1990 surgeon general
16 report, right?

17 A Yes.

18 Q And are you familiar with that report?

19 A Yes, I am.

20 Q And have you also read other studies about
21 reduction in risk?

22 A Yes. There are several epidemiologic
23 studies that have been conducted to look at this very
24 issue, and that's currently the approach the public
25 health officials use in the United States to reduce

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ROUGH DRAFT

1 risks so they want to make sure that they're doing
2 the right thing. So a lot of studies have been

3 conducted to measure reduction in the risk based on
4 smoking cessation or stopping smoking.

5 Q Well, what is -- just tell us, what's the
6 net effect of having -- of smoking cessation for more
7 than 10 years based upon all the different studies
8 that you've read?

9 A Well, as I mentioned, I believe it was this
10 morning, some studies show that after 10 years that a
11 smoker's risks drop back to the same level as a
12 nonsmoker. Some studies show that there's a slight
13 increase over the nonsmoker.

14 Again, if you look at the nonsmoking
15 population, you see lung cancer, too, which is also
16 increasing with age, and that's what they were trying
17 to correct for on that table.

18 But I think to make it simple is that the
19 -- the values that I've seen have somewhere between
20 a risk of zero after 10 years above a nonsmoker to
21 twice background, which would be 2(x) or twofold over
22 background, so it would be twice as much risk as a
23 person who didn't smoke.

24 Q And those are based upon what are called
25 epidemiological studies; is that correct?

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ROUGH DRAFT

1 A That's correct.

2 Q Can you do an epidemiological study to
3 compare individual brands of cigarettes?

4 A No, you can't.

5 Q Now, there was a reference made to in-vivo

6 and in-vitro tests; do you remember that?

7 A Yes.

8 Q And what are those two types of test?

9 A Generally in-vitro tests first are the ones
10 that are done on single cells that are grown in Petri
11 dishes in what's called a culture media. The in-vivo
12 studies are done in whole animals. Now, as I
13 mentioned, there's some crossover between between the
14 two but generally that's what they mean.

15 Q And have there been the -- the in-vivo
16 tests done on cigarette smokers?

17 A Yes.

18 Q For about how long?

19 A Well the first in 75 in-vivo inhalation
20 studies were done -- we first started seeing them
21 published in the early 1960s, and they extended
22 through about -- I think the last one was published
23 in 1984.

24 Q And did those demonstrate to you that
25 cigarette smoking is a cause of lung cancer?

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ROUGH DRAFT

1 A No. Those laboratory animal studies were
2 all negative.

3 Q Now, as a toxicologist, you have conducted
4 inhalation experiments, is that right?

5 A Yes.

6 Q And you've reviewed the literature on
7 inhalation experiments?

8 A Yes, I have.

9 Q Have these -- have there been extensive

10 efforts to induce lung cancer in laboratory animals?

11 A Yes, there have been.

12 Q And what has been the results of those?

13 A The results have generally been negative.

14 And these have been reviewed, for example, in the

15 surgeon general's reports that we've been talking

16 about, and he's also indicated are generally negative

17 in producing lung cancer within laboratory animals.

18 Q Let's also now switch and talk about these

19 FDA risk assessments. Does the FDA have a safety

20 criteria for cigarettes?

21 A No, it doesn't.

22 Q Does the FDA set safety criterias typically

23 for consumer products?

24 A Probably the only real products that would

25 fall under that maybe would be pharmaceuticals, but

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ROUGH DRAFT

1 for what people would say are consumer products,

2 that's a different agency. The Consumer Product

3 Safety Commission has responsibility for those type

4 products.

5 Q Well, if you were to use risk assessments,

6 as the FDA does, would something like a ski be able

7 to pass?

8 A No.

9 Q How about a steak?

10 A You mean a beef steak?

11 Q Right, a nice -- nice choice T-bone steak?
12 A It probably would not pass.
13 Q How about whiskey?
14 A Whiskey certainly wouldn't pass.
15 Q How about wine?
16 A Wine wouldn't.
17 Q How about?
18 A Some wines may.
19 Q How about oysters?
20 A Raw oysters would not.
21 Q How about aspirin?
22 A Aspirin would not. And that's an
23 interesting one because there have been several
24 studies published where they've actually taken
25 aspirin through the criteria and showed that it would

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ROUGH DRAFT

1 fail.
2 Q Speaking of consumer products, who
3 traditionally makes the utility determination on the
4 use of a consumer product?
5 A In our form of government, in the end it's
6 the consumer that's supposed to make that decision.
7 Q And let me ask you one final question. To
8 a reasonable degree of scientific likelihood, do you
9 believe that smoking more likely than not caused Mrs.
10 Karbiwnyk's can lung cancer?
11 A No.
12 MR. YOUNG: Thank you.

13 THE COURT: Mr. Wilner, followups?

14 RECROSS EXAMINATION

15 BY MR. WILNER:

16 Q You said -- your last statement was that
17 smoking caused Mrs. Karbiwnyk's lung cancer. Does
18 smoking cause -- has smoking caused lung cancer in
19 any person in the United States?

20 A Statistically speaking we have
21 epidemiologic studies that confirm major increases in
22 the rate of cancer in smokers from a scientific
23 sense, which is what we were talking about,
24 laboratory animal studies, the answer is no.

25 Q So your answer is no to anyone in the

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1 United States, not just Mrs. Karbiwnyk?

2 A What I'm talking about actually is in the
3 case of Mrs. Karbiwnyk, we -- based on Dr. Gould's
4 testimony yesterday, the form of tumor that she has
5 is not generally even associated with cigarette
6 smoke, and she has several other risk factors. And I
7 was asked to draw a conclusion based on the risk
8 factors and the pathology of the tumor that we think
9 she had.

10 Q How about according to all the records at
11 Baptist Hospital, did you look at any of those?

12 MR. YOUNG: Objection, this is beyond the
13 scope of redirect.

14 MR. WILNER: He just -- he just answered
15 according to Dr. Gould and I want to explore the

16 basis for that. He was --

17 THE COURT: The question that led to that

18 answer was within cross, but go ahead, Mr.

19 Wilner

20 BY MR. WILNER.

21 Q You based your belief on the kind of cancer

22 that Joann Karbiwnyk has on what you were told about

23 the testimony of a witness here?

24 A And the medical records and the information

25 that I know about neuroendocrin tumors. I've seen

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ROUGH DRAFT

1 neuroendocrin tumors for several years.

2 Q And you saw that her doctors at Baptist

3 diagnosed her lung cancer as a small cell?

4 A They actually didn't diagnose it as a small

5 cell. As I remember, the pathology report said that

6 it was consistent with a small cell. They didn't say

7 it was a small cell.

8 Q The only one you saw said consistent with,

9 you didn't see the one that says small cell?

10 A Well, afterwards, that was what was quoted

11 based on a pathology report. But I -- again I would

12 look at the actual pathology report and try to

13 understand that. And it said it was consistent with,

14 in other words, it had some of the characteristics of

15 small cell.

16 Q And so your testimony is that the Gould

17 cancer is not related to smoking?

18 A It's -- I didn't say it was not related to
19 small --
20 MR. YOUNG: Objection, Your Honor.
21 THE COURT: Mr. Wilner, this is outside --
22 MR. WILNER: Your Honor may I? He just
23 asked for his conclusion about whether her
24 cancer is related to smoking, and this is --
25 this is directly following that. Your -- I

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ROUGH DRAFT

1 don't know any other way to examine him except
2 to ask him that question.
3 THE COURT: Go ahead.
4 MR. WILNER: May --
5 BY MR. WILNER:
6 Q Your testimony is -- is this Gould cancer
7 called atypical carcinoid or well-differentiated
8 endocrin tumor, whatever you want to call it, is not
9 related to cigarette smoking?
10 A It's only weakly related or not related.
11 It depends again on the study. We're talking about
12 very low statistical risks. In most studies where
13 they compare smokers and nonsmokers, the rate is the
14 same.
15 I believe in Dr. Gould's papers, in fact,
16 he has looked at his medical center specifically at
17 this issue, and has found a slight increase, but it's
18 -- it's slight.
19 Q And you saw his 1990 paper where 14 out of
20 15 of -- of people who had this cancer were cigarette

21 smokers?

22 A I -- you'd have to show me what you're
23 referring to.

24 Q Well, can you produce any of these
25 epidemiologic studies you keep talking about?

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ROUGH DRAFT

1 A Well, Dr. Gould testified --

2 THE COURT: Mr. Wilner --

3 MR. WILNER: Yeah.

4 THE COURT: -- no more about production of
5 things.

6 MR. WILNER: Your Honor -- all right, I'll
7 move on.

8 BY MR. WILNER:

9 Q You -- you mentioned the pathology report
10 just now and you said it -- it mentioned consistent
11 with?

12 A Yes.

13 Q Is this the one?

14 A Again I'll have to look at the monitor.

15 MR. GROSSMAN: Would you identify that for
16 us, Woody.

17 MR. WILNER: This is the record of 4/25/95
18 transcribed 4/26/95 by Dr. Holland. This is the
19 biopsy.

20 A Yeah, this is not the one I was referring
21 to. Let's see. Even in this one -- I can't tell
22 from what you've got up here. This appears to be a

23 pathology report. This just says it was a small cell
24 type. The neuroendocrin tumors are small size types
25 of tumors, they're small tumors. And I think what

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ROUGH DRAFT

1 Dr. Gould was testifying about is that there's a
2 difference between one small cell type and another.
3 And all this says is it was a small cell type.

4 I might mention, as a pathologist, these
5 are very difficult to diagnose because there -- it's
6 within the same class, these neuroendocrin tumors,
7 and they have a lot of the same characteristics so
8 they are difficult to interpret.

9 Q You've seen this chart many places, haven't
10 you, Doctor?

11 A Let me look at the other one. I've seen
12 this before. I don't know what it's out of.

13 Q You've seen it before, though, haven't you?

14 A I -- I do remember seeing this before. But
15 like I say, I don't remember what it was from.

16 Q Do you understand how it's put together?

17 A Yes, I understand how it's put together.

18 Q And you testified before that -- that there
19 are many risk factors for lung cancer?

20 A Yes.

21 MR. YOUNG: Objection, that's beyond the
22 scope of recross -- or redirect.

23 Q You testified in redirect that the risk
24 factor that air pollution was a risk factor for lung
25 cancer?

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ROUGH DRAFT

1 A Yes, it is.

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